



6 March 2009

**First meeting of the subcommittee *ad interim* of
UNAIDS Programme Coordinating Board
Geneva, Switzerland
25 February 2009**

**Annexes of the report of the
first meeting of the PCB subcommittee**

18 February 2009



UNAIDS/PCB/SC(01)/01

SUBCOMMITTEE *AD INTERIM* OF THE PROGRAMME COORDINATING BOARD

First meeting

Date: 25 February 2009

Venue: WHO Salle B (main building, third floor), Geneva, Switzerland

Time of meeting: 09h00 - 12h30 and 14h00 - 17h30

Agenda

1. Opening of the meeting and adoption of the agenda

The Chair will open the meeting and propose the adoption of the agenda.

2. Mandate of the subcommittee *ad interim* for the preparation of the 2010-2011 Unified Budget and Workplan

Helen Frary from UNAIDS Secretariat will introduce the mandate of the subcommittee as adopted by the PCB and the subcommittee will be asked to take note of it.

Document: UNAIDS/PCB/SC(01)/01.1: Annex 1: Decisions, Recommendations and Conclusions of the 23rd Meeting of the PCB, Geneva, Switzerland, 15-17 December 2008.

3. Overall context, approach and principles of the 2010-2011 Unified Budget and Workplan

Joel Rehnstrom from UNAIDS Secretariat will present an overview of the overall context, approach and principles that guide the development of the 2010-2011 UBW and the subcommittee will be asked to reflect on and take note of these.

Document: UNAIDS/PCB/SC(01)/01.1

4. Structure of the 2010-2011 UBW: principal outcomes and key outputs

Andrew Ball from WHO will present the structure of the 2010-2011 UBW as well as the proposed principal outcomes and key outputs and the subcommittee will be asked to review and provide comments on these.

Document: UNAIDS/PCB/SC(01)/01.1: Annex 3.

(Lunch: 12.30-14.00)

5. Progress in the development of a performance monitoring framework

Joel Rehnstrom from UNAIDS Secretariat will present an overview of progress in the development of a performance monitoring framework for the 2010-2011 UBW and the subcommittee will be asked to review progress to date.

Document: Handout to be provided at the meeting

6. Process for finalizing the 2010-2011 UBW

Joel Rehnstrom from UNAIDS Secretariat will present an overview of the process for finalizing the 2010-2011 UBW and the subcommittee will be asked to take note of the next steps in the process.

Document: UNAIDS/PCB/SC(01)/01.1: Annex 4.

7. Agenda and date of next meeting and any other business

A second meeting of the subcommittee is proposed to take place in mid April in Geneva. The meeting is expected to include a review of the draft final 2010-2011 UBW including the performance monitoring framework. The Chair will ask subcommittee members to agree on a date of the meeting.

Mandate of the subcommittee *ad interim* for the preparation of the 2010-2011 Unified Budget and Workplan (UBW)

(as adopted at the 23rd meeting of the Programme Coordinating Board, December 2008)

The UNAIDS Programme Coordinating Board,

- 5.9 *Agrees* to the establishment of a subcommittee *ad interim* of the Programme Coordinating Board for the preparation of the 2010-2011 Unified Budget and Workplan with the mandate to review in a general manner and make recommendations to the 24th Programme Coordinating Board meeting on:
- a. the overall priorities, scope and structure of the UNAIDS Budget and Workplan;
 - b. the expected results and broad activities of the Unified Budget and Workplan;
 - c. the performance monitoring framework, indicators, targets and financial implementation reports; and
 - d. follow-up on implementation of the previous decisions on the Unified Budget and Workplan;
- 5.10 *Agrees* that the subcommittee shall be comprised of a maximum of ten member states (two per geographical region), two NGOs, two Cosponsors and the UNAIDS Secretariat, and that the reports of the subcommittee meetings be posted on the UNAIDS website;
- 5.11 *Agrees* the process for establishment of the subcommittee as follows:
- By the deadline of 9 January 2009 the Chair of the Programme Coordinating Board to invite proposals for membership from each (Member State) region and constituency (NGOs and CCO); each group should present consensus nominees if possible;
 - Each constituency will be requested to submit the exact number of nominations to fill the number of places on the subcommittee for that particular constituency;
 - If the number of nominations exceeds or is less than the number of places on the subcommittee for a particular constituency, the Chair of the Programme Coordinating Board will contact all members of that constituency represented on the Board for further discussion and agreement;
 - Once the subcommittee is established the PCB Bureau will communicate the names of the members to all Member States, PCB NGOs and Cosponsors; and
 - The PCB Bureau shall propose, for agreement by the Programme Coordinating Board using the inter-sessional decision making process, a Chair from within the membership of the subcommittee;

Overall context, approach and principles of the 2010-2011 UBW

The Unified Budget and Workplan: context, principles and approach



Overall context

- Universal access to HIV prevention, treatment, care and support provides the overall goal for the 2010-2011 UBW
- The current UBW serves as a model and past achievements and lessons learned are considered in formulating the next UBW
- Changes in the structure of the UBW are limited in light of the ongoing second independent evaluation of UNAIDS
- A limited number of areas that the Cosponsors and the Secretariat focus on and take responsibility for are highlighted
- Lead agencies, allocation of resources, accountability and links between investments and results are spelled out in the UBW



Key principles

- The UBW is not a funding mechanism for national AIDS programmes and has therefore always been relatively small
- The UBW provides funds activities at global, regional and country level to catalyze and leverage country level action against AIDS
- The Cosponsors' country-level resources remain formally outside the UBW as it would impossible to include these in the UBW
- The next UBW is proposed to remain around the current level of US\$469 million
- A performance monitoring framework is developed to go along with the UBW with a particular focus on the measurement of achievements at country level



Approach

- The UBW results framework consists of:
 - (a) *principal outcomes*, which UNAIDS contribute to
 - (b) *key outputs*, which UNAIDS is accountable for
 - (c) *broad activities* which are required to achieve the results
- The budget will be presented showing resources allocated for:
 - i. priority areas and activities to achieve universal access
 - ii. the Secretariat, Cosponsor and Interagency activities
 - iii. global, regional and country level activities

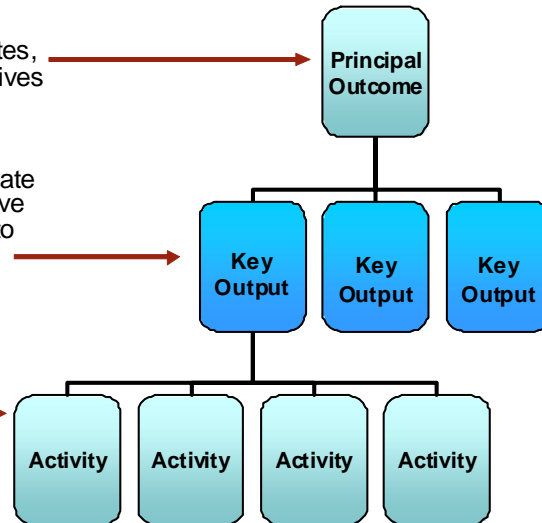


Structure of the 2010-2011 UBW

8 Principal Outcomes: defined as medium-term changes in the AIDS response to which UNAIDS contributes, which set common, high-level objectives

34 Key Outputs: defined as immediate results of cumulative and collaborative efforts Cosponsors and Secretariat to provide goods and services

Some 100 Activities: by individual Cosponsors, the Secretariat and interagency efforts



What is an outcome and output?

Tiers of results aligned with OECD-DAC

Illustrative examples

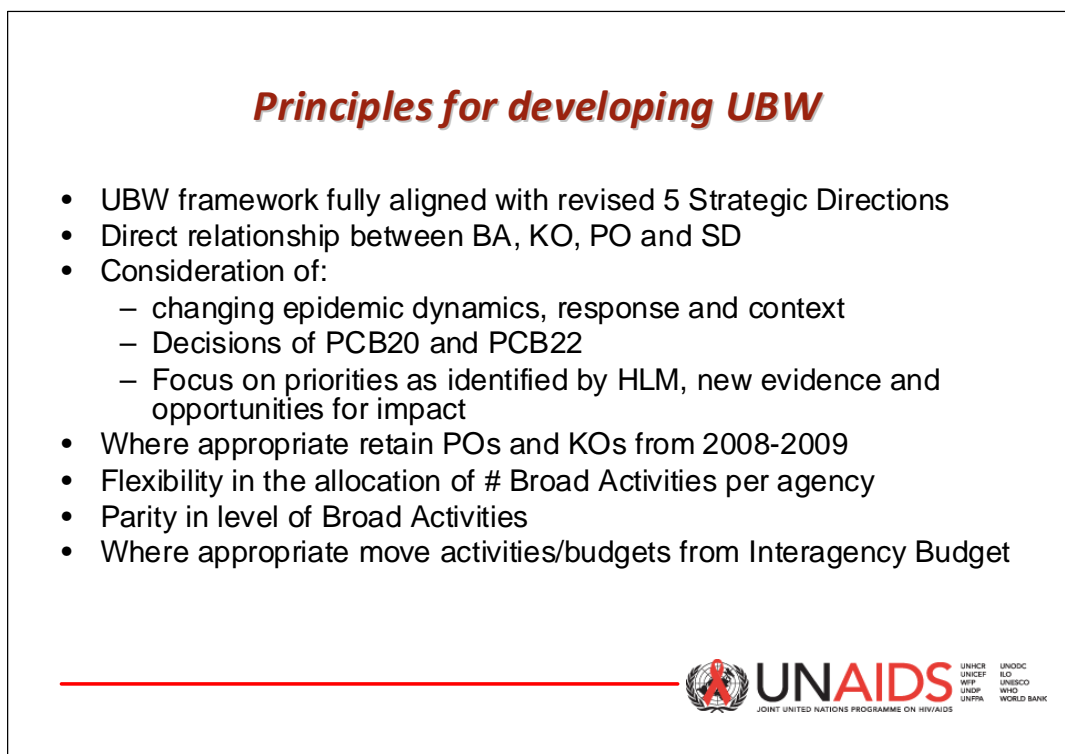
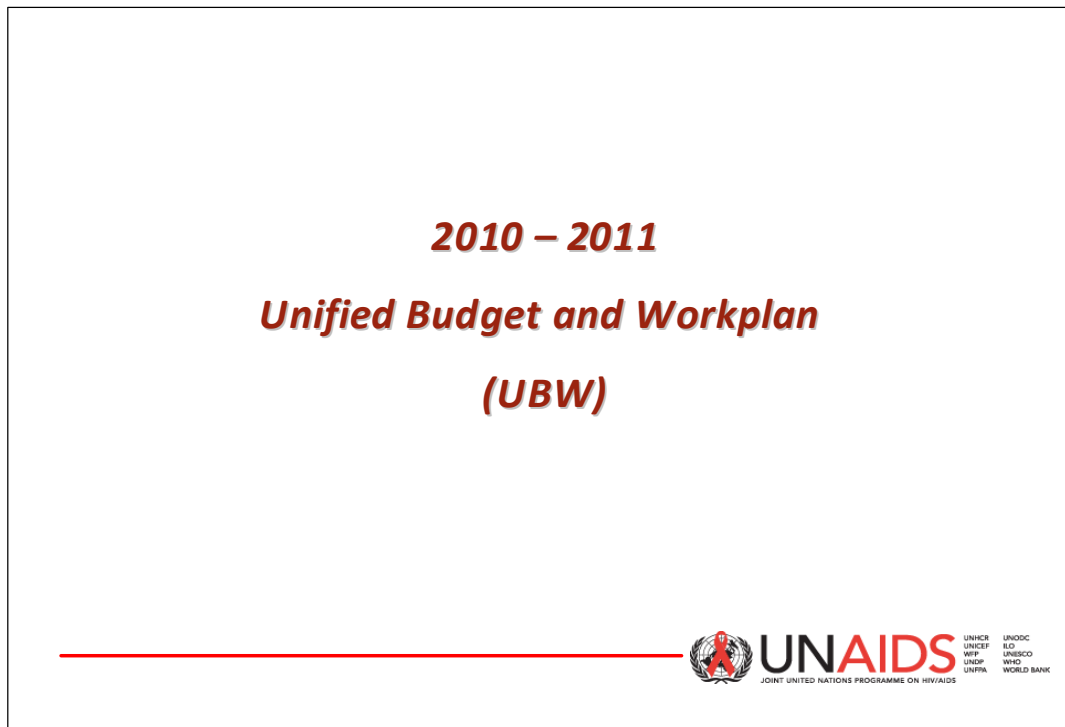
- **Goal** – MDG 6, target 7
Halt and reverse the spread of AIDS epidemic
- **Impact**
Decrease in HIV prevalence, averted infections, longer survival on ART
- **Principal Outcome**
Increased coverage of prevention, treatment, care or support programmes
- **Key Output**
Number of countries supported to plan, implement and monitor programmes
- **Activity**
Development of tools, provision of technical advice, advocacy, missions



Budget components

Budget component	Definition
<p>\$469m Consists of all of the Core plus the Supplemental Secretariat, Interagency and Contingency</p>	<p>Raised by UNAIDS Secretariat Primary funding applied within UBW workplan Catalyzes Cosponsor supplemental, Global/Regional & Country level funding</p>
<p>\$247m Cosponsor Supplemental Resources</p>	<p>Raised directly by Cosponsors from voluntary contributions. Applied within UBW workplan.</p>
<p>\$184m Cosponsor Global & Regional Resources</p>	<p>Cosponsor allocation from their own regular budgets. Applied within UBW workplan</p>
<p>\$\$\$ Cosponsor Country Resources</p>	<p>Raised by Cosponsors from voluntary contributions and regular budget. Applied outside UBW workplan</p>

Structure of the 2010-2011 UBW: principal outcomes and key outputs



Process for developing UBW

- Mapping of the Strategic Directions to the current PO, KO and Activities
- Reorganization to of POs and KOs into logical framework
- Review of POs and KOs re description and relevance
- Identification of significant gaps and overlap
- Identification of Lead Agency and Implementing Agencies for each KO
- Cosponsors and Secretariat formulate BAs



Five Strategic Directions (SDs)

1. Guiding the global agenda, increasing involvement and monitoring global progress →→ **Advocacy, resources, strategic information**
2. Technical support and capacity building to 'make the money work' for Universal Access →→ **Systems strengthening**
3. Human rights, gender equality and reduced vulnerability of most-at-risk populations →→ **Determinants and supportive environments**
4. Re-emphasizing HIV prevention alongside treatment, care and support →→ **Specific interventions and populations**
5. Strengthening harmonization and alignment with national priorities →→ **Coordination and harmonization**



PO3: Determinants and supportive environment

KO1: Human rights-based, gender-responsive and equitable HIV policies and programmes

KO2: HIV-related stigma, discrimination & human rights (for MARPs)

KO3: Addressing legal and policy barriers

KO4: Gender-based inequality, violence, trafficking



PO4a: HIV prevention, treatment, care & support

KO1: Prevention of sexual and STIs

KO2: PMTCT

KO3: HIV prevention in health care & occupat. settings

KO4: HIV treatment and care

KO5: HIV testing and counseling

KO6: HIV/TB



PO4b&c: Most-at-risk & vulnerable populations

PO4b: Most-at-risk populations

KO1: Scale up of services for MARPs

KO2: Evidence-informed policies & practices for MARPs

PO4c: Vulnerable populations

KO1: Children

KO2: Young people

KO3: Women and girls

KO4: Humanitarian crises

KO5: Workplace and mobile populations



PO5: Coordination, alignment and harmonization

KO1: HIV responses in development plans

KO2: Coordinated technical and financial support

KO3: UN system support



Summary

5 Strategic Objectives

8 Principal Outcomes

34 Key Outputs

Broad Activities and Budgets to be decided

Division of Labour clarified with Lead and Implementing Agencies

Next steps:

- **Global Coordinators Meeting**
- **CCO**
- **PCB**



Progress in the development of a performance monitoring framework

The Unified Budget and Workplan: performance monitoring



Current performance monitoring efforts

- 1. Joint monitoring of progress against Principal Outcomes – UNGASS reports, UNAIDS country reports*
- 2. Individual monitoring of achievement of Key Outputs – reports by Cosponsors and Secretariat*
- 3. Reviews and in-depth assessments, case studies by the Cosponsors and Secretariat*



Linking outcomes and outputs with indicators

Principal Outcome:

Improved planning, financing, technical assistance and coordination for a sustainable multisectoral AIDS response.

Outcome indicator:

Number of countries with national AIDS strategies that are multisectoral, with clear strategic priorities with costed and budgeted action plans

Key Output:

Increased, harmonized and aligned technical and financial support for implementation of national AIDS programmes.

Examples of output indicators:

- Number of technical support person-days provided
- Number of (GFATM and other) proposals developed with UNAIDS support funded

Activities

UNDP: Technical and managerial support for implementation, coordination and monitoring of programmes financed by GFATM and other initiatives.

World Bank: Improving donor coordination and harmonization in collaboration with the Global Fund, PEPFAR and others.

Secretariat: Strengthening capacity of national AIDS responses; harmonization and alignment of donor funding with national priorities.

Interagency: Technical support to national partners to overcome critical obstacles in scaling up comprehensive AIDS programmes (GIST, TSF).



Examples of performance monitoring

Principal outcome

Countries able to implement and scale-up HIV prevention programmes

- 93% of countries have a national strategy for HIV prevention
- Condom use - 27% of women (aged 15-49) and 33% of men (aged 15-49)

Key outputs by agency - UNFPA

Key outputs by agency - WHO

Key outputs by agency - UNESCO

Developed capacity to design, implement and assess effective educational, communication and information strategies for HIV prevention

- 25 countries supported to develop IEC policies; 60 countries involved in EDUCAIDS
- 20 major capacity building workshops on IEC held in 24 countries
- Over 200 IEC training programmes for different sectors in 30 countries

Case studies, evaluations, assessments



Accountability

- ***Cosponsor and Secretariat accountability***
 - annual narrative reports on progress against indicators
 - annual financial reports on the utilization of all sources of funds
 - individual evaluations, assessments and case studies
- ***Responsibility***

Cosponsors and the Secretariat use their own internal mechanisms for monitoring activities and expenditures
Secretariat seeks, reviews, analyses and synthesizes reports
- ***Reporting to***

UNAIDS PCB, Cosponsor governing boards



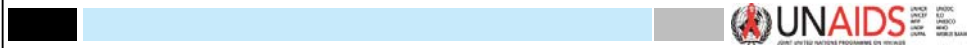
Lessons learned

- Continued efforts needed to strengthen performance monitoring and accountability at all levels
- Performance monitoring efforts to focus on:
 - ✓ continued selection of the most appropriate indicators
 - ✓ harmonizing UBW measurements with those used by Cosponsors in their other programmes
 - ✓ improving links between investments and results



Future performance monitoring efforts

- More systematic process and comprehensive assessment
- Improved scope and quality of performance information
- Continued emphasis on measuring progress at country level
- Better linkages with other monitoring efforts



Process for finalizing the 2010-2011 UBW

Milestone	Timeline	Responsible parties
1. First meeting of the PCB Subcommittee ad interim for the 2010-2011 Unified Budget and Workplan	25 February 2009	PCB Subcommittee and Secretariat
2. Peer review of the 2010-2011 UBW Principal Outcomes, Key Outputs, Broad Activities and Budget allocations 3. UBW 2008-2009 mid-term review conducted and considered as part of finalization of UBW 2010-2011	2-4 March 2009	Global Coordinators Focal Points and Secretariat
4. Finalization of the 2010-2011 UBW Performance Monitoring Framework PO and KO indicators including the definition of their respective data sources, baselines and targets	≈15 March 2009	Cosponsor Evaluation Working Group
5. CCO review and endorsement of UBW 2010-2011 and the Performance Monitoring Framework	2-4 April 2009	Global Coordinators Focal Points and Secretariat
6. Second meeting of the PCB Subcommittee ad interim for the 2010-2011 Unified Budget and Workplan	16 April 2009	PCB Subcommittee and Secretariat
7. Finalization of UBW document including Performance Monitoring Framework, editing and translation	24 April 2009	Focal Points and Secretariat
8. PCB member states briefed on UBW 2010-2011, and the Performance Monitoring Framework	End April 2009	Global Coordinators Secretariat
9. PCB review and approval of UBW 2010-2011 including the Performance Monitoring Framework	22-24 June 2009	Global Coordinators Focal Points and Secretariat